AP3 Rec'd PUT/PTO 17 MAY 2009

ATTORNEY DOCKET NUMBER U.S. DEPARTMENT OF COMMERCE **FORM PTO-1390** PATENT AND TRADEMARK OFFICE (REV 5-93) 101190-00054 01/12/206572 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NUMBER CONCERNING A FILING UNDER 35 U.S.C. 371 (IF KNOWN, SEE 37 C.F.R. 1.5) **NEW PATENT APPLICATION** INTERNATIONAL APPLICATION NUMBER PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE December 6, 2005 January 19, 2005 PCT/KR2005/004155 WIND TURBINE TITLE OF INVENTION: APPLICANT(S) FOR DO/EO/US: Byung-Sue RYU (Seoul, Republic of Korea), Young-Sil YU (Seoul, Republic of Korea) Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The U.S. has been elected (Article 31). A copy of the International Application as filed [35 U.S.C. 371(c)(2)] is attached hereto (required only if not transmitted by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application into English [35 U.S.C. 371(c)(2)]. is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)] 7. are attached hereto (required only if not transmitted by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]. An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)]. An English language translation of the annexes to the International Preliminary Examination Report under PCT 10. Article 36 [35 U.S.C. 371(c)(5)]. Items 11 to 20 below concern other document(s) or information included: An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98, PTO/SB/08a, and International Search Report. An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 12. A preliminary amendment. 13. An Application Data Sheet under 37 C.F.R. 1.76. A substitute specification. 15. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825. A second copy of the published international application under 35 U.S.C. 154(d)(4). 18. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Applicant herein qualifies for small entity status under 37 C.F.R. 1.9 and 1.27, and acknowledgement of this status by the

Other items or information: Drawings (Figs. 1 – 11; 11 sheets), PCT/RO/101 (copy), PCT/IB/301 (copy), PCT/ISA/237 (copy)

U.S. Patent and Trademark Office is hereby requested. A Small Entity Declaration is attached.

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| U.S. APPLICATION NUMBER (IF/KNOWN, SEE \$76 F.R. S. S. NUMBER NEW PATENT APPLICATION PCT/KR2005/004155 | | | | | ATTORNEY DOCKET NUMBER 101190-00054 | | |
|---|--|---|--|------------|--------------------------------------|---|-------------------------|
| The following fees are submitted | | | | | | CALCULATIONS | PTO USE ONLY |
| 22. 🛛 Basic | National Fee | | ••• | •••• | \$300.00 | \$ 300.00 | |
| 23. Examination Fee If International Preliminary Examination Report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$100.00 All other situations \$200.00 | | | | | | \$ 200.00 | · |
| 24. Search Fee Search Fee (37 CFR 1.445(a)(2)) has been paid on the International Application to the USPTO as an International Search Authority \$100.00 International Search Report prepared and provided to the Office \$400.00 All other situations \$500.00 | | | | | | \$ 400.00 | |
| TOTAL OF CALCULATIONS FOR 21, 22 AND 23 | | | | | | \$ 900.00 | |
| Additional fee for specification and drawings filed in paper over 100 sheets excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | |
| Total Sheets | Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number) | | | | Rate | | |
| - 100 = | / 50 = | T | | | X \$250.00 | \$ | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than \(\sum 20 \subseteq 30 months \) from the earliest claimed priority date [37 C.F.R. 1.492(e)]. | | | | | | \$ | |
| Claim | is 1 | Number Filed | Number Extra | I | Rate . | | |
| Total Claims | | 6 - 20 = | | X \$ 50.00 | | \$.00 | |
| Independent Claims | | 1 - 3 = | | X \$200.00 | | \$.00 | |
| Multiple dependent claim(s) (if applicable) + \$360.00 | | | | | | \$.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | | \$ 900.00 | |
| Reduction by one-half for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28). | | | | | | \$ 450.00 | |
| SUBTOTAL = | | | | | | \$ 450.00 | |
| Processing fee of \$130.00 for furnishing the English translation later the 20 30 months from the earliest claimed priority date [37 C.F.R. 1.492(f)]. | | | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | | | \$ 450.00 | |
| Fee for recording the enclosed assignment [37 C.F.R. 1.21(h)]. The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property | | | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | | | \$ 450.00 | |
| | | | | | | Amount to be refunded | \$ |
| | | | | | | Charged | \$ |
| b. Pleaso A dup c. The C | c charge my Depo plicate copy of this commissioner is h | sit Account Nun s sheet is enclose ereby authorized | 00 to cover the above ober 01-2300 in the ared. to charge any addition Attorney Docket Nur | nount o | f <u>\$.00</u> to cover which may be | ver the above fee. required, or credit any over | payment to Deposit |
| | | | 37 C.F.R. 1.494 or 1.4 cation to pending stat | | not been met, a | petition to revive (37 C.F.R | . 1.137(a) or (b)) must |
| Customer Num ARENT FOX I 1050 Connection Suite 400 Washington, D | | | | | George E. Or | | <u></u> |
| GEO:vmh | | | | | Registration N | Number 27,931 | |